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(DS)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: James J. Shelton

SERIAL NO.: 09/996,328

GROUP ART UNIT:

FILED: 11/28/2001

EXAMINER:

FOR: "Method and Apparatus For Disinfecting a Refrigerated Water Cooler Reservoir"

ATTORNEY DOCKET NO.: P01252US (98134.6P)

INFORMATION DISCLOSURE STATEMENT

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Enclosed is a form PTO/SB/08a. Copies of the foreign references are enclosed.

Applicant respectfully submits that the application is in condition for allowance. A Notice of Allowance is hereby respectfully requested.

Should the Examiner feel that a telephone conference would advance the prosecution of this application, he is encouraged to contact the undersigned at the telephone number listed below.

Applicant respectfully petitions the Commissioner for any extension of time necessary to render this paper timely.

No fee is required to submit this form. However, if a fee is necessary, please charge any additional required fees to deposit Account No. 50-0694.

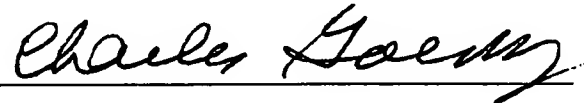
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 , on this 3 day of August, 2004.



PTO/SB/08A (10-01)
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet <u>1</u> of <u>4</u>		Complete If Known	
		Application Number	09/996,328
		Filing Date	November 28, 2001
		First Named Inventor	James J. Shelton
		Art Unit	
		Examiner Name	
		Attorney Docket Number	P01252US (98134.6P)

U.S. PATENT DOCUMENTS					
Examiner Initials ²	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ³ (If known)			
		US- 1,403,025	01-10-1922	HAASE	
		US- 2,093,619	09-21-1937	POWELL	
		US- 2,947,525	08-02-1960	KLEIN	
		US- 3,448,045	06-03-1969	E.A. HESS ET AL	
		US- 3,692,180	09-19-1972	LARAUS	
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		US- 3,967,131	06-29-1976	SLIPIEC	
		US- 3,970,731	07-20-1976	OKSMAN	
		US- 4,019,986	04-26-1977	BURRIS ET AL	
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		US- 4,410,495	10-18-1983	BASSLER	
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		US- 5,295,519	03-22-1994	BAKER ET AL	
		US- 5,328,059	07-12-1994	CAMPBELL	

FOREIGN PATENT DOCUMENTS						
Examiner Initials ²	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)				
		2 022 979 A	12-19-1979	SOLYMAR		
		0 163 750	12-11-1985			
		361,103,595	05-22-1986			
		WO/88/04279	06-16-1988	LARSON		
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		WO 93/17725	09-16-1993	BURRIS		
		WO 97/42924	11-20-1997	ENGELHARD, ET AL		
		EP O 739 312 B1	10-30-1996	SUTERA		
		WO/00/38815	07-06-2000	Davis		

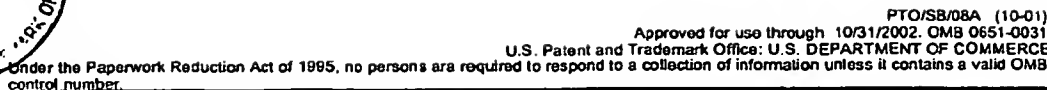
Examiner Signature	Date Considered
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number · Kind Code ² (if known)			
		us- 5,366,619	11-22-1994	MATSUI ET AL	
		us- 5,431,861	07-11-1995	NAGAHIRO ET AL.	
		us- 5,503,809	04-02-1996	COATE	
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		us- 5,698,164	12-16-1997	KISHIOKA	
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		us- 6,050,474	04-18-2000	AOTA ET AL.	
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		us- 6,361,686	03-26-2002	CONRAD	

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	3	of	4
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Complete If Known

Application Number	09/996,328
Filing Date	November 28, 2001
First Named Inventor	James J. Shelton
Art Unit	
Examiner Name	
Attorney Docket Number	P01252US (98134.6P)

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/088 (10-01)
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Substitute for form 1449B/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/996,328
		Filing Date	November 28, 2001
		First Named Inventor	James J. Shelton
		Group Art Unit	
		Examiner Name	
Sheet 4 of 4	Attorney Docket Number	P01252US (98134.6P)	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cita No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		U.S. Patent Application No. 09/954,849 for "Method and Apparatus for Disinfecting a Water Cooler Reservoir," filed 09-18-2001	
		U.S. Patent Application No. 10/173,133 for "Method and Apparatus for Disinfecting a Refrigerated Water Cooler Reservoir and It's Dispensing Spigot(s)," filed 06-17-2002	
		U.S. Patent Application No. 10/875,078 for "Method and Apparatus for Disinfecting a Refrigerated Water Cooler Reservoir," filed 06-23-2004	

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